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A.C.H.  
1-1402*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Takaaki HIGASHIDA et al.

Attn: BOX PCT

Serial No. NEW

Docket No. 2001\_1290A

Filed September 10, 2001

OPTICAL DISK MOLDING APPARATUS  
AND METHOD  
[Corresponding to PCT/JP00/01455  
Filed March 10, 2000]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

1b. [] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1)  the certification of paragraph 2 below is provided, or
- (2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c.  This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a.  that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b.  that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3.  Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a.  a full or partial English language translation submitted herewith,

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- b. [X] a foreign patent office search report (in the English language) submitted herewith,
- c. [] the concise explanation contained in the specification of the present application at page,
- d. [X] the concise explanation set forth in the attached English language abstracts,
- e. [] the concise explanation set forth below or on a separate sheet attached to the reference:

5. [] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Takaaki HIGASHIDA et al.

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FORM PTO 1449 (modified)		ATTY DOCKET NO. 2001_1290A	SERIAL NO. 09/936164 NEW
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICANT Takaaki HIGASHIDA et al.	
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		FILING DATE September 10, 2001	GROUP
Date Submitted to PTO: September 10, 2001			

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AG	8-90617	4-9-96	JP			Abstr.
-	AH	7-290527	11-7-95	JP			Abstr.
-	AI	1-159223	6-22-89	JP			Abstr.
-	AJ	6-31782	2-8-94	JP			Abstr.
-	AK	8-25434	1-30-96	JP			Abstr.
-	AL	8-207161	8-13-96	JP			Abstr.
-	AM	2000108169	4-18-2000	JP			Abstr.
-	AN	9-155934	6-17-97	JP			Abstr.

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED